

## Risk Profile Questionnaire

**PRIVATE AND CONFIDENTIAL**

**Name:** \_\_\_\_\_

**Date:** \_\_\_\_\_

Please email us the completed questionnaire at [lchan@vibrancewealth.com](mailto:lchan@vibrancewealth.com).

Thank you.



This questionnaire is designed to help us determine how to allocate the assets in your investment portfolio. The findings serves as a guide for creating a portfolio that is in line with your risk tolerance, investment time horizon, and financial situation. There may be other factors to consider when making portfolio decisions. Please answer the following questions with a specific goal or account in mind for long term investment.

1. I plan to begin taking money from my investments in ...
  - A. Less than 1 year
  - B. 1-2 years
  - C. 3-5 years
  - D. 6-10 years
  - E. 11-15 years
  - F. More than 15 years
  
2. As I start withdrawing money from my investments, I plan to spend the funds over a period of ...
  - A. 2 years or less
  - B. 3-5 years
  - C. 6-10 years
  - D. 11-15 years
  - E. More than 15 years
  
3. When making a long term investment, I plan to invest the money for ...
  - A. 1-2 years
  - B. 3-4 years
  - C. 5-6 years
  - D. 7-8 years
  - E. More than 8 years

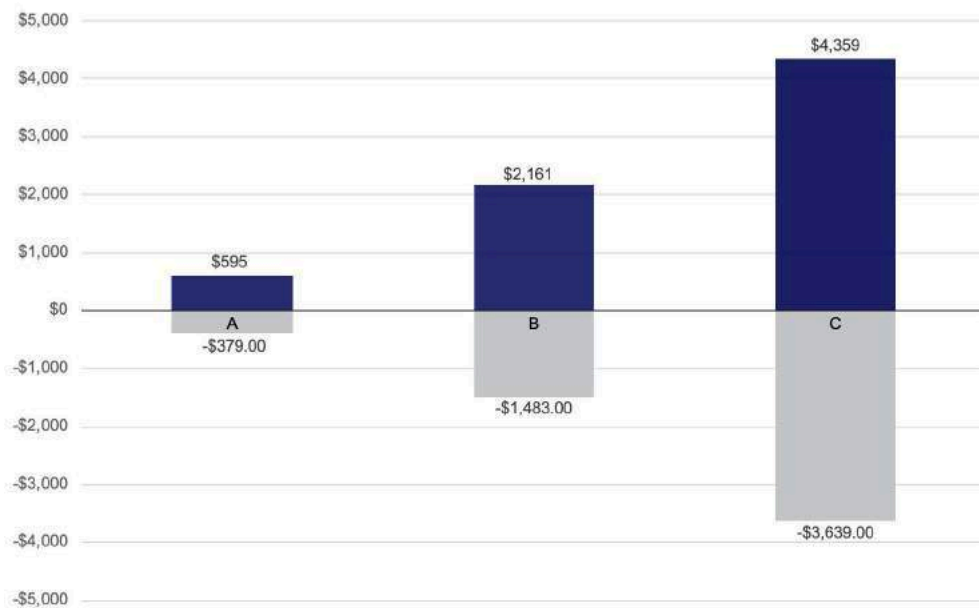
4. If I owned a stock investment that lost about 30% of its value in three months, I would ...
- A. Sell all of the remaining investment
  - B. Sell some of the remaining investment
  - C. Hold on to the investment and sell nothing
  - D. Buy more of the investment
5. I prefer an investment with little or no ups or downs in value, and I understand it may generate a lower return.
- A. I strongly disagree
  - B. I disagree
  - C. I somewhat agree
  - D. I agree
  - E. I strongly agree
6. When the market drops, I tend to sell some of my riskier investments and place the proceeds in safer investments.
- A. I strongly disagree
  - B. I disagree
  - C. I somewhat agree
  - D. I agree
  - E. I strongly agree
7. After a casual conversation with my friend, coworker or relative, I would use their ideas to invest.
- A. I strongly disagree
  - B. I disagree
  - C. I somewhat agree
  - D. I agree
  - E. I strongly agree

8. If I owned a bond investment that lost almost 4% of its value in two months, I would ...

- A. Sell all of the remaining investment
- B. Sell some of the remaining investment
- C. Hold on to the investment and sell nothing
- D. Buy more of the investment

9. The chart below shows the biggest loss and gain on three hypothetical investment portfolios of \$10,000 in any given year, I would prefer ...

- A. Portfolio A
- B. Portfolio B
- C. Portfolio C



\*The chart is for illustration only and the information is not representing any specific portfolios.

10. My current and future income sources ( such as earned income, rental income, pensions, Social Security benefits) are ...

- A. Very unstable
- B. Unstable
- C. Somewhat stable
- D. Stable
- E. Very stable

11. When it comes to investing individual stocks, bonds or mutual funds, I would consider myself as ...

- A. Very inexperienced
- B. Somewhat inexperienced
- C. Somewhat experienced
- D. Experienced
- E. Very experienced

## Findings

Your responses to this questionnaire indicate that your risk tolerance is :

\_\_\_\_\_ (about a mix of \_\_\_\_\_ stocks and \_\_\_\_\_ bonds).

Please note this suggested mix of asset allocation is for reference purposes. You and your advisor will discuss the finalized allocation.